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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mehmet Inan, et al.

Examiner: Unassigned

Serial No.: 10/743,163

Art Unit: 1652

Filed: December 22, 2003

Docket: 15948Z

For: ALCOHOL OXIDASE 1 REGULATORY
NUCLEOTIDE SEQUENCES FOR
HETEROLOGOUS GENE EXPRESSION
IN YEAST

Dated: January 19, 2006

Confirmation No.: 7785

Mail Stop Amendment
Commissioner for Patents
United States Patent and Trademark Office
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 19, 2006


Xiaochun Zhu

1. United States Patent No. 5,641,661 dated June 24, 1997 to Kumagai et al.;
2. European Patent Publication No. 0510 693 A2, published October 28, 1992; and
3. PCT International Publication No. WO 97/17450, published May 15, 1997.

The references were cited in a Partial Search Report dated December 20, 2005 received from the European Patent Office. Applicants are submitting copies of the above-cited references, together with a copy of the Partial European Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference. The relevance of the above-identified references has been described in the Partial Search Report.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined under 37 C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Xiaochun Zhu

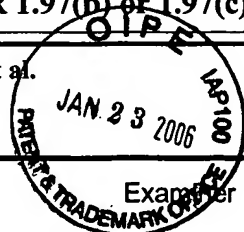
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15948Z

In Re Application Of: Mehmet Inan, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/743,163	December 22, 2003	Unassigned	23389	1652	7785

Title: **ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) & (c))

Docket No.

15948Z

In Re Application of: Mehmet Inan, et al.



Application No.	Filing Date	Customer No.	Group Art Unit	Confirmation No.	
10/743,163	December 22, 2003	Unassigned	23389	1652	7785

Title: ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-3886/RCT as described below.
- ☐ Charge the amount of _____
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January 19, 2006 (Date)	
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Xiaochun Zhu	
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***This certificate may only be used if paying by deposit account.**
Signature

Dated: January 19, 2006

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE <div style="text-align: center;"> LIST OF PRIOR ART CITED BY APPLICANT </div> <div style="text-align: center; margin-top: 10px;"> <i>(Use several sheets if necessary)</i> </div> <div style="text-align: center; margin-top: 20px;">  </div>				Atty. Docket No. (Optional) 15948Z		Application Number 10/743,163	
				Applicant(s) Mehmet Inan, et al.			
				Filing Date December 22, 2003		Group Art Unit 1652	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	1.	5,641,661	6/24/97	Kumagai et al.			
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	2.	EPO 0510 693 A2	10/28/92	EPO			✓
	3.	WO 97/17450	5/15/97	PCT			✓
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							